



EXPRESS MAIL NO. EV170137900US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

NOV - 6 2002

#12  
ALVES  
11/8/02

Applicant : Steven L. Eikenberg

Application No. : 09/828,601

Filed : April 6, 2001

TECHNOLOGY CENTER R3700

For : EASY ACCESS DENTAL FIELD OPERATING AND  
TREATMENT SYSTEM HAVING OVER-THE-PATIENT  
DELIVERY

Examiner : Melba N. Bumgarner

Art Unit : 3732

Docket No. : 920070.411

Date : October 31, 2002

RECEIVED  
OCT - 6 2002  
3732 MAIL ROOM

Commissioner for Patents  
Washington, DC 20231

RECEIVED

NOV - 6 2002

INFORMATION DISCLOSURE STATEMENT

TECHNOLOGY CENTER R3700

Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicant does not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserves the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

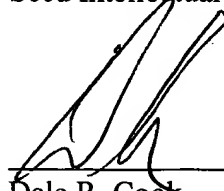
I hereby certify that each of the references set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

Steven L. Eikenberg

Seed Intellectual Property Law Group PLLC



---

Dale R. Cook  
Registration No. 42,434

Enclosures:

Postcard

Form PTO-1449

Cited References (6)

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

D:\NrPortb\liManage\GINNYC\330432\_1.DOC

11-04-02

GAU 3 732



EXPRESS MAIL NO. EV170137900US  
PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	09/828,601
Filing Date	April 6, 2001
First Named Inventor	Steven L. Eikenberg
Group Art Unit	3732
Examiner Name	Melba N. Bumgarner
Attorney Docket No.	920070.411

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): _____ _____ _____ <b>RECEIVED</b> NOV - 6 2002
---	---	---

Remarks

TECHNOLOGY CENTER R3700

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Dale R. Cook	 00500 PATENT TRADEMARK OFFICE
Signature		
Date	October 31, 2002	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.		
Typed or printed name	***VIA EXPRESS MAIL***	
Signature		Date:

1 of 1

FORM PTO-1449  
(REV.7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
DOCKET NO.  
920070.411APPLICATION NO.  
09/828,601**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

APPLICANT

Steven L. Eikenberg

FILING DATE

April 6, 2001

GROUP ART UNIT

3732

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,081,542	3/19/63	Sherfey	32	22	
	AB	3,597,033	8/3/71	Slouka	312	209	
	AC	4,514,019	4/30/85	Moore	312	209	
	AD	5,013,240	5/7/91	Bailey et al.	433	77	
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

RECEIVED

NOV - 6 2002

TECHNOLOGY CENTER R3700

TO STC MAIL ROOM

RECEIVED

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK	962,680	6/16/50	Republic of France		X
	AL	2 233 032	1/10/75	Republic of France		X
	AM					
	AN					
	AO					

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	
	AR	

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).